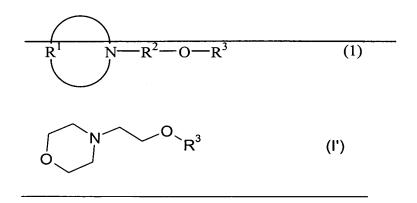
The listing of claims will replace all prior versions, and listings, of claims in the application:

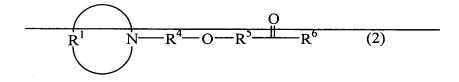
## **Listing of Claims:**

1. (Currently Amended) An amine compound of the following general formula (1) (I'):



wherein R<sup>1</sup> is a straight or branched alkylene group of 2 to 20 carbon atoms which may contain at least one carbonyl, ether, ester or sulfide group, R<sup>2</sup> is a straight or branched alkylene group of 1 to 10 carbon atoms, R<sup>3</sup> is hydrogen or a straight, branched or cyclic alkyl or alkoxy group of 1 to 20 carbon atoms which may contain optionally contains a one or more hydroxy groups group, ether groups group, carbonyl groups group, ester groups group, lactone rings ring or carbonate groups group, and R<sup>2</sup> and R<sup>3</sup>, taken together, may form a ring with the oxygen atom.

2. (Currently Amended) An amine compound of the following general formula (2) (II'):



$$\begin{array}{c|c}
 & O \\
 & R^{5} & R^{6}
\end{array}$$
(II')

wherein R<sup>4</sup> is a straight or branched alkylene group of 2 to 20 carbon atoms which may contain at least one carbonyl, ether, ester or sulfide group, R<sup>4</sup> is a straight or branched alkylene group of 1 to 10 carbon atoms, R<sup>5</sup> is a single bond or a straight, branched or cyclic alkylene group of 1 to 20 carbon atoms, and R<sup>6</sup> is hydrogen or a straight, branched or cyclic alkyl or alkoxy group of 1 to 20 carbon atoms which may contain optionally contains a one or more hydroxy groups group, ether groups group, carbonyl groups group, ester groups group, lactone rings ring or carbonate groups group.

3. (Currently Amended) An amine compound of the following general formula (3):

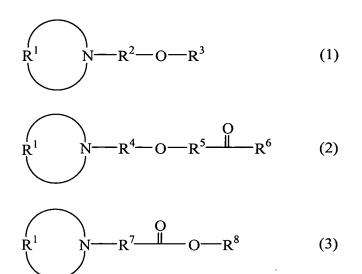
$$\begin{array}{c|c}
\hline
R^1 & N & \hline
R^7 & D & \hline
\end{array}$$
O—R<sup>8</sup> (3)

wherein R<sup>1</sup> is a straight or branched alkylene group of 2 to 20 carbon atoms which may contain optionally contains at least one carbonyl, ether, ester or sulfide group, R<sup>7</sup> is a straight or branched alkylene group of 1 to 10 carbon atoms, R<sup>8</sup> is a straight, branched or cyclic alkyl group of 1 to 20 carbon atoms which may contain optionally contains a one or more hydroxy groups group, ether groups group, carbonyl groups group, ester groups group, lactone rings ring or carbonate groups group, and R<sup>7</sup> and R<sup>8</sup>, taken together, may optionally form a ring with the COO.

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4. (Canceled)

5. (Currently Amended) A resist composition comprising at least one of amine compounds compound of the following general formulae (1) to (4):



wherein R<sup>1</sup> is a straight or branched alkylene group of 2 to 20 carbon atoms which may contain optionally contains at least one carbonyl, ether, ester or sulfide group,

R<sup>2</sup>, R<sup>4</sup> and R<sup>7</sup> each are a straight or branched alkylene group of 1 to 10 carbon atoms, R<sup>3</sup> and R<sup>6</sup> each are, each independently, hydrogen or a straight, branched or cyclic alkyl or alkoxy group of 1 to 20 carbon atoms which may contain optionally contains a one or more hydroxy groups group, ether groups group, carbonyl groups group, ester groups group, lactone rings ring or carbonate groups group,

R<sup>5</sup> is a single bond or a straight, branched or cyclic alkylene group of 1 to 20 carbon atoms.

R<sup>8</sup> is a straight, branched or cyclic alkyl group of 1 to 20 carbon atoms which may contain optionally contains a one or more hydroxy groups group, ether groups group, carbonyl groups group, ester groups group, lactone rings ring or carbonate groups group,

R<sup>2</sup> and R<sup>3</sup>, taken together, may optionally form a ring with the oxygen atom,

R<sup>7</sup> and R<sup>8</sup>, taken together, may optionally form a ring with the COO,

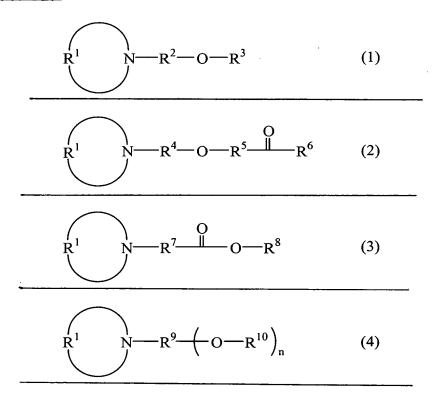
R<sup>9</sup> is a (n+1)-valent organic group of 2 to 10 carbon atoms,

R<sup>10</sup> which <u>is</u> may be the same or different is hydrogen or a straight, branched or cyclic alkyl or alkoxy group of 1 to 20 carbon atoms which may contain optionally contains a one or more hydroxy groups group, ether groups group, carbonyl groups group, ester groups group, lactone rings ring or carbonate groups group, and

n is equal to 2, 3 or 4.

## 6. (Currently Amended) A positive resist composition comprising

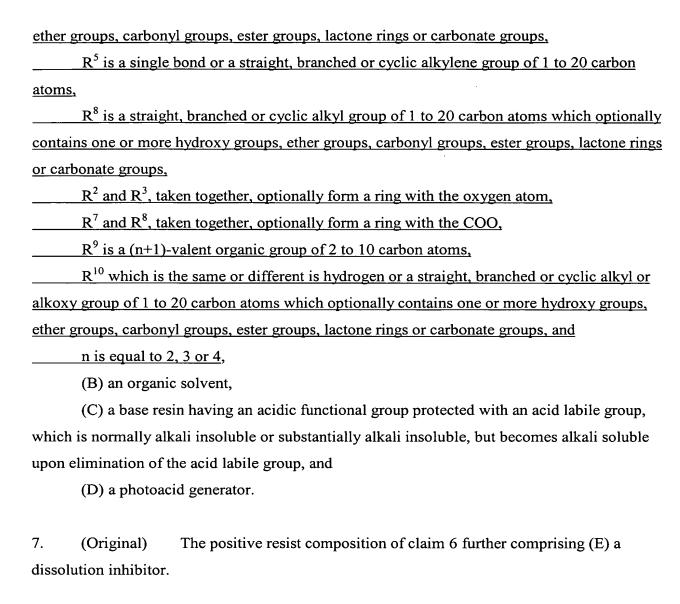
(A) the amine compound of claim 5 at least one amine compound of the following formulae (1) to (4):



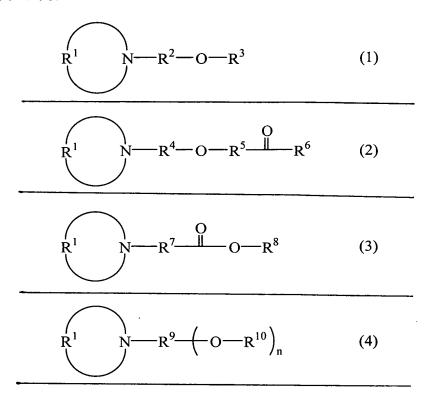
wherein R<sup>1</sup> is a straight or branched alkylene group of 2 to 20 carbon atoms which optionally contains at least one carbonyl, ether, ester or sulfide group,

R<sup>2</sup>, R<sup>4</sup> and R<sup>7</sup> each are a straight or branched alkylene group of 1 to 10 carbon atoms,

R<sup>3</sup> and R<sup>6</sup> are, each independently, hydrogen or a straight, branched or cyclic alkyl or alkoxy group of 1 to 20 carbon atoms which optionally contains one or more hydroxy groups,



- 8. (Currently Amended) A negative resist composition comprising (A) the amine compound of claim-5 at least one amine compound of the following
- formulae (1) to (4):



wherein R<sup>1</sup> is a straight or branched alkylene group of 2 to 20 carbon atoms which optionally contains at least one carbonyl, ether, ester or sulfide group,

R<sup>2</sup>, R<sup>4</sup> and R<sup>7</sup> each are a straight or branched alkylene group of 1 to 10 carbon atoms, R<sup>3</sup> and R<sup>6</sup> are, each independently, hydrogen or a straight, branched or cyclic alkyl or alkoxy group of 1 to 20 carbon atoms which optionally contains one or more hydroxy groups, ether groups, carbonyl groups, ester groups, lactone rings or carbonate groups,

R<sup>5</sup> is a single bond or a straight, branched or cyclic alkylene group of 1 to 20 carbon atoms,

R<sup>8</sup> is a straight, branched or cyclic alkyl group of 1 to 20 carbon atoms which optionally contains one or more hydroxy groups, ether groups, carbonyl groups, ester groups, lactone rings or carbonate groups,

R<sup>2</sup> and R<sup>3</sup>, taken together, optionally form a ring with the oxygen atom,

R <sup>7</sup> and R <sup>8</sup> , taken together, optionally form a ring with the COO,	
R <sup>9</sup> is a (n+1)-valent organic group of 2 to 10 carbon atoms,	
R <sup>10</sup> which is the same or different is hydrogen or a straight, branched or cyclic alkyl	or
alkoxy group of 1 to 20 carbon atoms which optionally contains one or more hydroxy groups	<u>s,</u>
ether groups, carbonyl groups, ester groups, lactone rings or carbonate groups, and	
n is equal to 2, 3 or 4,	
(B) an organic solvent,	
(C') a base resin which is normally alkali-soluble, but becomes substantially alkali	

- insoluble when crosslinked with a crosslinker,
  (D) a photoacid generator, and
  - (F) the crosslinker capable of crosslinking under the action of acid.
- 9. (Previously Presented) A process for forming a resist pattern comprising the steps of:

applying the resist composition of claim 5 onto a substrate to form a coating,

heat treating the coating and then exposing it to high-energy radiation having a wavelength of less than 300 nm or electron beams through a photo mask, and

optionally heat treating the exposed coating and developing it with a developer.

- 10. (New) A process for forming a resist pattern comprising the steps of:
  applying the resist composition of claim 6 onto a substrate to form a coating,
  heat treating the coating and then exposing it to high-energy radiation having a wavelength of
  less than 300 nm or electron beams through a photo mask, and
  optionally heat treating the exposed coating and developing it with a developer.
- 11. (New) A resist composition according to claim 5, comprising an amine compound of the following formula (I'):

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$$\bigcap_{O} \bigcap_{R^3} (I')$$

wherein R<sup>3</sup> is hydrogen or a straight, branched or cyclic alkyl which optionally contains one or more hydroxy groups, ether groups, carbonyl groups, ester groups, lactone rings or carbonate groups.

12. (New) A resist composition according to claim 5, comprising an amine compound of the following formula ( $\Pi$ '):

$$\bigcap_{O} \bigcap_{R^{5}} \bigcap_{R^{6}} (II')$$

wherein R<sup>5</sup> is a single bond or a straight, branched or cyclic alkylene group of 1 to 20 carbon atoms, and R<sup>6</sup> is hydrogen or a straight, branched or cyclic alkyl or alkoxy group of 1 to 20 carbon atoms which optionally contains one or more hydroxy groups, ether groups, carbonyl groups, ester groups, lactone rings or carbonate groups.

13. (New) A positive resist composition according to claim 6, comprising an amine compound of the following formula (I'):

$$\bigcap_{O} \bigcap_{R^3}$$
 (I')

wherein R<sup>3</sup> is hydrogen or a straight, branched or cyclic alkyl which optionally contains one or more hydroxy groups, ether groups, carbonyl groups, ester groups, lactone rings or carbonate groups.

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14. (New) A positive resist composition according to claim 6, comprising an amine compound of the following formula ( $\Pi$ '):

$$\bigcap_{O} \bigcap_{R^{5}} \bigcap_{R^{6}} (II')$$

wherein R<sup>5</sup> is a single bond or a straight, branched or cyclic alkylene group of 1 to 20 carbon atoms, and R<sup>6</sup> is hydrogen or a straight, branched or cyclic alkyl or alkoxy group of 1 to 20 carbon atoms which optionally contains one or more hydroxy groups, ether groups, carbonyl groups, ester groups, lactone rings or carbonate groups.

15. (New) A negative resist composition according to claim 8, comprising an amine compound of the following formula (I'):

$$\bigcap_{O} \bigvee_{P^3} O \bigcap_{R^3} (I')$$

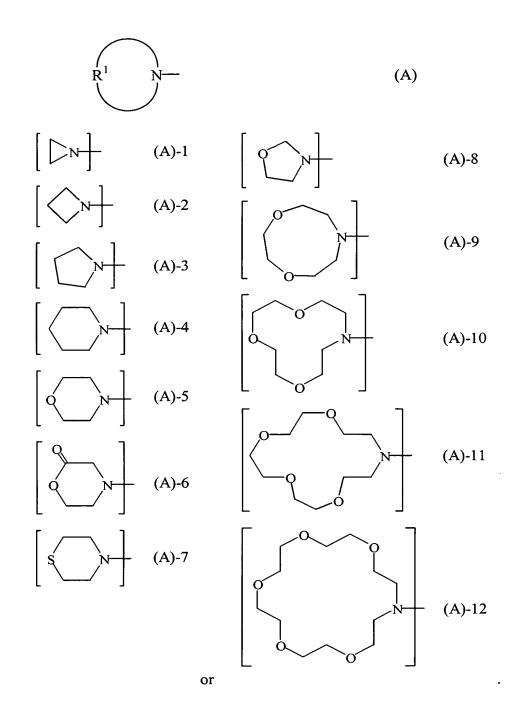
wherein R<sup>3</sup> is hydrogen or a straight, branched or cyclic alkyl which optionally contains one or more hydroxy groups, ether groups, carbonyl groups, ester groups, lactone rings or carbonate groups.

16. (New) A negative resist composition according to claim 8, comprising an amine compound of the following formula ( $\Pi$ '):

$$\bigcap_{O} \bigvee_{N} \bigcap_{R^{5}} \bigcap_{R^{6}} R^{6} \qquad (II')$$

wherein R<sup>5</sup> is a single bond or a straight, branched or cyclic alkylene group of 1 to 20 carbon atoms, and R<sup>6</sup> is hydrogen or a straight, branched or cyclic alkyl or alkoxy group of 1 to 20 carbon atoms which optionally contains one or more hydroxy groups, ether groups, carbonyl groups, ester groups, lactone rings or carbonate groups.

- 17. (New) A resist composition according to claim 5, comprising an amine compound of formula (3).
- 18. (New) A positive resist composition according to claim 6, comprising an amine compound of formula (3).
- 19. (New) A negative resist composition according to claim 8, comprising an amine compound of formula (3).
- 20. (New) An amine compound according to claim 3, wherein the moiety (A) is a moiety of one of formulae (A)-1 to (A)-12,



21. (New) A resist composition comprising an amine compound of claim 20.